#### **CHAPTER 4: AGENCY GOALS AND PERFORMANCE MEASURES**

Chapter Purpose: The previous chapter discussed how a TMS performance measurement program influences an agency's vision, goals, and objectives. Chapter 4 further discusses typical performance measurement goals of TMS related agencies and also addresses, in more detail, the challenges that these agencies face with regards to a TMS performance measurement program. This chapter presents typical goals of TMS related agencies including state departments of transportation, metropolitan planning organizations, and transportation management centers. It also presents a list of performance measures organized by TMS type. Figure 4-1, on the next page, illustrates the components forming the basis of a TMS performance measurement program and shows Chapter 4 in relation to the rest of the handbook.

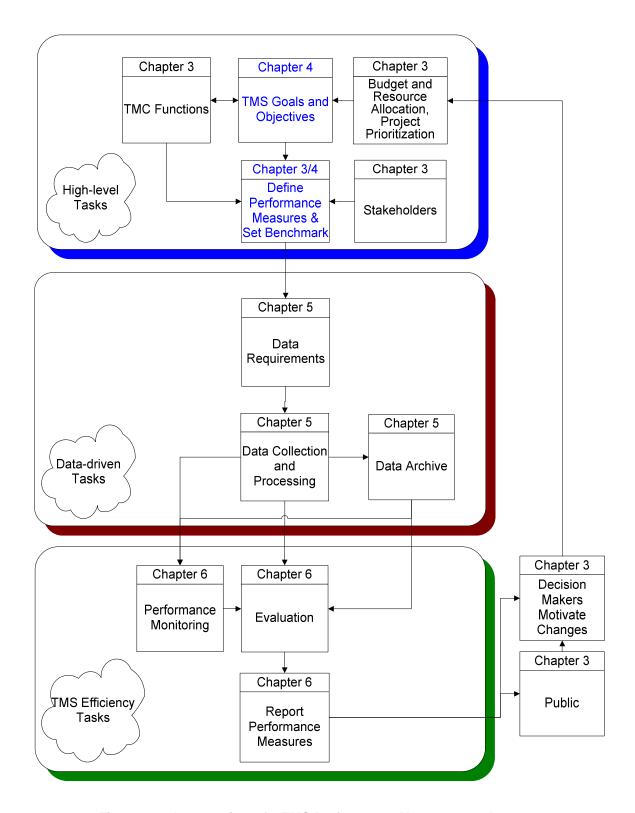


Figure 4-1: An Overview of a TMS Performance Measurement Program

### 4.1 TMS Related Agencies and Their Goals

This section identifies how TMS functionality may influence typical goals and measures used by public agencies, service providers, and other stakeholders. Because "a consensus does not exist and technical guidance has not been developed regarding the appropriate measures" variation exists in the performance measures used from one TMS to another (Transportation Research Board, *NCHRP Synthesis 311* 2003).

### **Departments of Transportation**

The job of a DOT is to plan, build, maintain, and improve the state's transportation network (Georgia Department of Transportation 2005). Typical goals of a DOT include improving efficiency, capacity, and safety. Some goals specific to state DOTs are listed in Box 4-1.

| DOT-Speci | DOT-Specific Goals   |  |  |  |  |  |  |
|-----------|--|--|--|--|--|--|--|
| NYSDOT    | One of the goals of the NYSDOT is to maintain a facility that is protected from external dangers and potential abuses.   |  |  |  |  |  |  |
| ODOT      | Ohio's mission is to create a transportation network that connects them to the global economy.   |  |  |  |  |  |  |
| VDOT      | Virginia specifies the overall goal of achieving results on time and on budget.  |  |  |  |  |  |  |
| RIDOT     | Part of RIDOTs mission is to provide a transportation network that, in addition to meeting general goals such as safety, is both "aesthetically and culturally sensitive." |  |  |  |  |  |  |
| UDOT      | One of the Utah DOT's four main goals is to increase the capacity of their transportation system.  |  |  |  |  |  |  |
| ODOT      | Improving the livability of their state through its transportation system is one of Oregon's visions.  |  |  |  |  |  |  |

**Box 4-1: DOT-Specific Goals (DOT individual websites)** 

#### **Transportation Management Centers**

The functions of a TMC include incident response, traveler information, traffic management, and video surveillance (Hudson Valley Transportation management Center). The overall purpose of a TMC is to improve mobility and safety; the general goal is to reduce incident response time and incident rates, especially secondary incidents (Sreedevi 2003). "The overall goal of [the]

Transportation Management Center is to maximize the use of the existing transportation network" (Washington State Department of Transportation 2005).

Because TMCs manage a transportation network, improved ITS and interagency cooperation are typical goals. Houston TranStar, for example, is a partnership of four public agencies: the Texas DOT, Harris County, the Metropolitan Transit Authority of Harris County, and the city of Houston (Houston TranStar). Minnesota created a Regional TMC to serve as a "unified communications center" for the State Patrol Dispatch, Maintenance Dispatch, and Traffic Operations to meet their coordination needs. Hudson Valley TMC, on the other hand, "recognizes that the private sector will play a critical role in ITS implementation. A priority element is to promote opportunities for ITS public/private partnerships through active participation mechanisms like ITS-AMERICA and to pursue innovative means to accomplish these new partnerships" (Hudson Valley Transportation management Center).

### **Metropolitan Planning Organizations**

Transportation planning with the intention to secure federal funding is the main function of an MPO. A typical goal of an MPO is "to provide comprehensive, coordinated and continuous ("3C") transportation planning for the safe and efficient movement of people and goods consistent with the region's overall economic, social and environmental goals. Special emphasis is placed on providing equal access to a variety of transportation choices and effective public involvement in the transportation planning process" (San Antonio Bexar County 2005).

FHWA has recommended to MPOs the goals of accommodating bicyclists and pedestrians (Pekow), of instituting freight planning, and of improving analytic models. In general, FHWA has found MPO goal setting to be vague and there is "insufficient application of objective performance-based criteria" (Federal Highway Administration, *Breakout Session Summary Session* Comparison).

#### Comparison

The functional difference between a DOT and an MPO accounts for different goals and corresponding performance measures. While a DOT is concerned with the maintenance of a system, an MPO may use measures that focus more on the community, such as sustainability. Similar rifts occur between urban/rural and passenger/freight interests. For instance, while predominately rural agencies use traditional performance measures, urban agencies look for "mode-neutral" performance measures to invalidate the notion that highway investments are of a higher priority (Cambridge 1999).

The rift between urban and rural performance measure programs is partly because of size. "Agencies in larger (population) areas are more likely to have a

performance measure program in place. This may be a result of the resources available to larger agencies or that these agencies have more complex congestion and mobility issues to manage that may not be adequately addressed by more traditional measures of effectiveness such as LOS." NYDOT, for example, is concerned with "external threats" to target areas along its transportation network that most rural DOTs wouldn't consider. Regional differences will also occur in areas such as weather management. The agencies in areas receiving snow and ice will be concerned with roadway conditions during bad weather and may set corresponding goals (Transportation Research Board, *NCHRP Synthesis 311* 2003).

All agencies are concerned about their ability to effect improvement in an area of measurement; however, various agencies view certain performance measures and goals more applicable than others. The importance of such measures varies across dimensions (i.e. State versus MPO, urban versus rural, passenger versus freight, etc.) "This raises the question of how to provide guidance that is both specific enough to be useful to those who already are using a performance-based approach and at the same time broad and flexible enough to be valid across such a range of perspectives." The various interests of a TMS create the added challenge of defining performance measures that are appropriate for a range of functions without losing their existing application (Cambridge 1999).

Further complication occurs when measures are imposed on a TMS by stakeholders. External obligations may interfere with an agency's own idea of important measures, even if they are flexible. One solution to this conflict of interest is to overlap sets of measures so that one set satisfies the external requirements and the other meets internal needs. Inherent in this method is an added degree of complication and confusion (TransTech 2003).

In general, it is inevitable that performance needs will vary. When conflicts occur between various performance measures, they should be acknowledged and balanced if possible. Regardless of function, agencies share the common goal of accountability. For this reason, an agency's measures should be clear and focused. They will help an agency set policies and make them more accountable their stakeholders. It is also important that selected performance measures reflect the goals of a TMS; the goals and objectives should not be influenced by the performance measures. The end result will be a measure of success that will accurately reflect the achievement of a defined objective (Neudroff et al. 2003). Box 4-2 below highlights the goals of the Utah Department of Transportation's performance-based program.

# "Quality Transportation Today, Better Transportation Tomorrow."

This is the Utah Department of Transportation (UDOT)'s motto. Each year UDOT reviews and revises their list of goals to keep on top of the current needs in their state. UDOT recently vamped up their commitment to goal setting. By asking themselves three pointed questions—Who are we? What is our focus? What do we do and how do we do it?—UDOT was able to establish four specific goals: take care of what we have, make the system work better, improve safety, and increase capacity.

Each strategic goal is subcategorized into focus areas. "Take care of what we have," for example, is broken down to the preservation of pavement, the preservation of bridge structures, and overall maintenance efforts. Performance measures, including the performance target, are then outlined.

UDOT also initiated a Statewide Transportation Improvement Program (STIP) and is looking as far ahead as 2030 with a long range plan, Utah Transportation 2030, based on its four strategic goals. UDOT represents an agency that effectively uses goals and measures to make progress (Utah Department of Transportation).

Box 4-2: Example of the application of performance measures to achieve goals (Utah Department of Transportation)

### **4.2 Typical TMS Performance Measures**

This section provides standard measures that can be applied to typical TMS functions in order to meet the goals and objectives of a TMS. Tables 4-1, 4-2, and 4-3 are categorized by TMS type and its respective functions. Three types of TMSs are considered: freeway, arterial, and transit. They are organized further by functions and also by input, output, outcome, and external measures. Please see Figure 4-2, on the next page, for a holistic view of the TMC types and functions. It is noted that some of performance measures presented in this section can be used independently, while some measures need to be used with conjunction with other performance measures. For example, the measure of the number of cameras itself is useless. However, it becomes meaningful when used with the coverage miles.

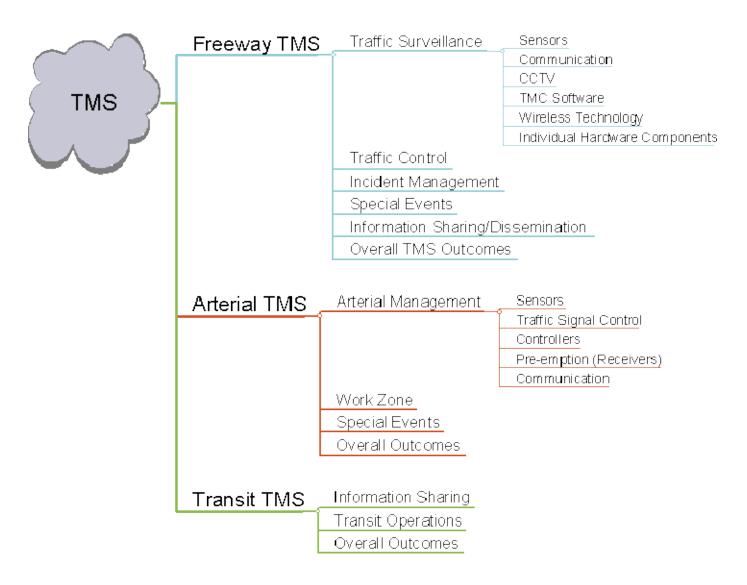


Figure 4-2: Overview of TMSs by Type and Their Respective Functions

Table 4-1: Performance Measures Corresponding to a Freeway TMS

|                      |                 |  |        | way System  |   |
|----------------------|-----------------|--|--------|---|---|
| Function Category    | Components      | Metric   | Туре   | Supplementary Notes   | Calculation Example(s)  |
| Traffic Surveillance | Sensors         | Person-hours spent working on installation / maintenance   | Input  |   |   |
|                      |                 | Percent time working properly  | Output |   |   |
|                      |                 | Percent freeway miles with electronic data collection  | Output | Can be an external factor for analysis                                | Freeway Miles With Data Collection Total Freeway Miles            |
|                      |                 | Number of<br>loop/video/AVL/AVI readers<br>working properly                                      | Output | Can be an external factor for analysis                                |   |
|                      |                 | Spacing between sensors  | Output | Can be an external factor for analysis                                | Average for n spacings, $\underbrace{\sum_{i=1}^{n} Spacing}_{n}$ |
|                      |                 | Data quality, reliability by<br>detector, other hardware,<br>software algorithms, sensor<br>type | Output |   |   |
|                      | Communication   | Efficiency of bandwidth  | Input  |   | $Efficiency = \frac{Bandwidth}{CycleLengt h} \times 100\%$        |
|                      |                 | Speed <sup>8</sup>   | Output |   | see page 29   |
|                      |                 | Number of bits lost (i.e. noise)   | Output |   |   |
|                      |                 | Number or percentage of time of failures   | Output | Defined as complete loss of communication                             |   |
|                      | CCTV            | Person-hours spent working on CCTV system  | Input  |   |   |
|                      |                 | Percent time working properly  | Output |   |   |
|                      | Software at TMC | Person-hours spent working<br>on TMC software<br>maintenance and upgrades                        | Input  |   |   |
|                      |                 | Flexibility  | Output | Ease/cost of expansion to include new VMS, sensors, CCTV, etc.        |   |
|                      |                 | Interoperability   | Output | With other software used frequently at the TMC such as internet, etc. |   |
|                      |                 | Reliability <sup>10</sup>  | Output |   | see page 31   |
|                      |                 | Other issues<br>(maintainability, security,<br>integration etc.)                                 | Output |   |   |
|                      |                 | Number of service calls related to software  | Output |   |   |

|                      |                                   |  | Freev  | vay System  |   |
|----------------------|-----------------------------------|--|--------|---|---|
| Function Category    | Components                        | Metric   | Туре   | Supplementary Notes   | Calculation Example(s)  |
| Traffic Surveillance | Wireless Technologies             | Market penetration   | Output | Can be an external factor for analysis  |   |
|                      |                                   | Number and location of readers by type (AVL, license plate, toll tags etc.)                      | Output |   |   |
|                      | Individual Hardware<br>Components | Person-hours working on component monitoring and maintenance                                     | Input  | Can also use dollars spent as measure   |   |
|                      |                                   | Frequency of checking the status of the sensors  | Output | Including sensors, readers,<br>CCTV, video walls,<br>hardware/software<br>components, switches,<br>routers, computer stations,<br>servers, communication<br>lines |   |
|                      |                                   | Percent of time, and<br>number of components<br>working properly                                 | Output |   | Time Component Works Properly Total Operation Time For Component  |
|                      |                                   | Equipment Downtime   | Output | Percent time component<br>not working, and percent<br>time component working<br>incorrectly (to help<br>diagnosis)  | Time Equipment Broken  Total Operation Time For Equipment  **100% |
|                      |                                   | Mean time between equipment failure  | Output |   | $\sum_{j+1}^{n}$ Time Between Failures ;                          |
| Traffic Control      | General                           | Person-hours spent working on system   | Input  |   |   |
|                      |                                   | Total/Percent freeway miles with electronic data collection                                      | Input  | Output for traffic surveillance   |   |
|                      |                                   | Number of loop/video/AVL/AVI readers   | Input  | Output for traffic surveillance   |   |
|                      |                                   | Spacing between sensors  | Input  | Output for traffic surveillance   | Average for n spacings, $\sum_{i=1}^{n} \frac{Spacing}{n}$        |
|                      |                                   | Data quality, reliability by<br>detector, other hardware,<br>software algorithms, sensor<br>type | Input  | Output for traffic surveillance   |   |

|                   |  |   |                               | ay System  |  |
|-------------------|--|---|-------------------------------|--|--|
| Function Category | Components   | Metric  | Туре                          | Supplementary Notes  | Calculation Example(s)   |
| Traffic Control   | HOV/Ramp<br>Metering/Other Controls                            | Person-hours spent toward<br>HOV/ramp metering/other<br>management                          | Input                         |  |  |
|                   |  | Percent of equipment<br>(sensors, ramp meters, etc.)<br>in "good" (working)<br>condition    | Output                        |  | No. of Pieces Working Total No. of Pieces ×100%                                |
|                   |  | Percent time VMS working properly   | Output                        |  | Time VMS Working Total Operation Time × 100%                                   |
|                   |  | Percent time RHOV (or HOV) gates working properly   | Output                        |  | Time RHOV Gates Working  Total Operation Time  ×100%                           |
|                   |  | Percent time Lane Control<br>Systems (LCS) working<br>properly                              | Output                        | Where lane control opens/closes lanes/shoulders for use            | $\frac{\textit{Time LCS Working}}{\textit{Total Operation Time}} \times 100\%$ |
|                   |  | Number of hours that ramp metering is in operation  | Output                        |  |  |
|                   |  | Percent time ramp metering working properly   | Output                        |  | Time Ramp Metering Working  Total Operation Time  ×100%                        |
|                   |  | Frequency of ramp metering software algorithm review/evaluation                             | Output                        | To measure currency/outdatedness                                   |  |
|                   |  | Frequency of updating ramp metering rate  | Output                        |  |  |
| Evacuation        |  | HOV vs. general purpose travel time   | Outcome                       |  |  |
|                   | Evacuation   | Number of evacuation events   | External                      |  |  |
|                   | Extent of coordination with other agencies                     | Input   | i.e., law enforcement and EMS | No. Incidents Managed Jointly  Total No. Incidents Managed  **100% |  |
|                   | Available number of personnel trained in evacuation operations | Input   | In field and in TMC           |  |  |
|                   |  | Number of signs (both VMS and Static) - installed, checked, maintained in working condition | Input/output                  |  |  |

|                   |                 |   | Freew           | ay System  |   |
|-------------------|-----------------|---|-----------------|--|---|
| Function Category | Components      | Metric  | Type            | Supplementary Notes  | Calculation Example(s)  |
| Traffic Control   | Evacuation      | Time required to disseminate information to VMS/HAR   | Output          |  | Average for n disseminations, $\sum_{i=1}^{n} \textit{Time to Disseminat } \mathbf{e}_{i}$ n                              |
|                   |                 | Frequency of update/review of evacuation plans/routes/signs   | Output          |  | No.Update/Review per Quarter or Year  |
|                   | Weather         | Hours, lane-miles, lane-mile-<br>hours affected by<br>(applicable) severe weather<br>(rain, snow, ice, surface ice,<br>high winds, fog, dust,<br>smoke) | External        | For before-and-after studies   |   |
|                   |                 | Person-hours spent toward weather events  | Input           |  |   |
|                   |                 | Lane-miles pre-<br>treated/plowed per hour/day<br>(for snow events)   | Output          |  | No. Lane Miles Treated  Hour or Day   |
|                   |                 | Percent of equipment (e.g., snow plow) working  | Output          |  | No. of Pieces Working  Total No. of Pieces  × 100%  |
|                   |                 | Number of messages<br>displayed on changeable<br>message signs, per weather<br>event  | Output          |  | Average for n events, $\sum_{i=1}^{n}$ No. of Messages $_{i}$ $_{n}$  |
|                   |                 | Number of weather events<br>for which messages were<br>displayed vs. total weather<br>events  | Output          |  | No. of Events With Messages Displayed  Total No. of Events  |
|                   | Human Component | Turnover rate   | External/output | Depends if the quality of work environment is objective of agency                                      | $rac{\it No.\ \it People\ \it that\ \it Left\ \it Job}{\it Total\ \it No.\ \it People\ \it at\ \it Job}\! 	imes\! 100\%$ |
|                   |                 | Person-hours working  | Input           | In field and in TMC, by job description  | No. People Working × Avg Working Hours  Day or Year   |
|                   |                 | Job experience/skills   | Input           |  |   |
|                   |                 | Dollar amount spent on employee training  | Input           | Summed over time (per<br>month, per quarter, per<br>year), or an average dollar<br>amount per employee | Average,  Total Dollars Spent On Training  No. of Employees Trained   |

|                     |   | 1   |              | ray System   | <u> </u>  |
|---------------------|---|---|--------------|--|---|
| Function Category   | Components                                  | Metric  | Туре         | Supplementary Notes  | Calculation Example(s)  |
| Traffic Control     | Human Component                             | Quality of Training provided for personnel  | Input        | For multi-tasking,<br>interpersonal coordination<br>with other agencies,<br>customer service, other<br>traffic control reviews |   |
|                     |   | Number of human errors  | Output       |  |   |
| Incident Management | General                                     | Number of incidents, by severity (e.g., fatal, injury), by type (e.g., crash, stalled vehicle) <sup>2</sup>                 | External     | See page 26  |   |
|                     |   | Person-hours working for<br>TMS Incident Management<br>System   | Input        | Both in field and in TMC   |   |
|                     |   | Number of responded crashes versus total number of crashes  | Output       | Responded crashes are<br>crashes responded to by<br>State Safety Patrol or<br>Freeway Incident<br>Response Team                |   |
|                     |   | Response time to incidents <sup>1</sup>   | Output       | See page 26  |   |
|                     | Sensors                                     | Percent time working properly   | External     | Also an output for traffic surveillance  |   |
|                     |   | Percent freeway miles with electronic data collection   | External     | Also an output for traffic surveillance  | Freeway Miles With Data Collection Total Freeway Miles        |
|                     |   | Sensor Downtime   | Input        | Percent time component<br>not working, and percent<br>time component working<br>incorrectly                                    | Time Sensor Not Working  Total Operation Time  X 100%         |
|                     | Calls                                       | Number of<br>employees/person-hours<br>answering calls  | Input        |  |   |
|                     |   | Incident-related calls  | Input/output | Input for incident, output for calls   |   |
|                     |   | Number of incidents<br>detected and/or verified with<br>calls vs. the total number of<br>incidents detected and<br>verified | Output       |  | Total No. Incident Calls<br>- [Duplicate + False Alarm Calls] |
|                     | Incident Detection<br>Algorithms (Software) | Percent time component working properly   | Input        | Output for traffic surveillance  | Time Component Working  Total Operation Time  × 100%          |
|                     |   | Incident detection Rate <sup>3</sup>  | Output       | see page 26  |   |
|                     | I .   | False Alarm Rate (FAR) <sup>3</sup>   | Output       | see page 26  |   |

| - · · · · · ·      |   | T   |        | way System   | 0.1.10.5.17  |
|--------------------|---|---|--------|--|--|
| Function Category  | Components                                  | Metric  | Туре   | Supplementary Notes  | Calculation Example(s)   |
| ncident Management | Incident Detection<br>Algorithms (Software) | Mean Time to Detect (MTTD) incidents                                  | Output |  | For n incidents, $\sum_{j+1}^{n} Incident  Detection  Time_{i}$                            |
|                    | ССТУ  | Number of surveillance cameras  | Input  | Output for surveillance  |  |
|                    |   | Roadway coverage  | Input  | Output for surveillance  | No. of Lane Miles Monitored By CCTVs  No. of Lane Miles Managed                            |
|                    |   | Percent of time CCTVs working properly                                | Input  | Output for surveillance  | Time CCTVs Working Total Operation Time   **   |
|                    |   | Number of identified incidents using CCTV                             | Output |  |  |
|                    | EMS & Safety Patrol                         | Total number of EMS/Safety<br>Patrol vehicles                         | Input  | Need to define coverage<br>hours (by time of day, day<br>of the week, or special<br>event)   |  |
|                    |   | Safety vehicle mileages per year                                      | Input  |  | Total for n safety vehicles, $\sum_{i=1}^{n} MileageofSafetyVehicle_{i}$ Year              |
|                    |   | Average duration of lanes, shoulders closed by incident type/severity | Output | Correlates to the system's relibability (important for budgeting resources and response procedures) Example: plot the likelihood of lane closure by location and by hour of the week to organize responder resources | Average for n incidents,   |
|                    |   | Response time by incident type/severity <sup>1</sup>                  | Output | see page 26  |  |
|                    |   | Clearance time by incident type/severity <sup>5</sup>                 | Output | see page 27  |  |
|                    |   | On-scene time   | Output | The time EMS and/or safety crew spends at the incident site  |  |
|                    | VMS/HAR/511                                 | Percent of time VMS working properly                                  | Input  |  | Time VMS Working Total Operation Time × 100%   |
|                    |   | Time required to program a new VMS message                            | Output | The time taken to post an incident-related message   | Average for n messages,<br>$\sum_{i=1}^{n} Time \text{ to Program Message } i$ $i = 1$ $n$ |
|                    |   | Effectiveness of message  | Output | From customer surveys/calls  |  |

|                    |                |  |          | ay System  |  |
|--------------------|----------------|--|----------|--|--|
| Function Category  | Components     | Metric   | Туре     | Supplementary Notes  | Calculation Example(s)   |
| ncident Management | Verification   | Person-hours working on verification   | Input    |  |  |
|                    |                | Verification time <sup>4</sup>   | Output   | see page 27  |  |
|                    | Outcomes       | Total or average hours of incident-related delay                                 | Outcome  |  |  |
|                    |                | Number of secondary crashes per primary crash                                    | Outcome  |  |  |
| pecial Events      | Planned Events | Number of events per month   | External |  |  |
|                    |                | Number, duration of lanes/shoulder miles closed, by event type                   | External |  | Average duration for n events, $\sum\limits_{\sum Duration \ Lanes, \ Shoulders \ Closed \ _{i}}^{n} \underbrace{i=1}_{n}$                 |
|                    |                | Person-hours working on planned event management                                 | Input    |  |  |
|                    |                | Volume of traffic on major routes, alternate routes                              | Output   |  | No. Vehicles on Major/Alte rnate Route Hour  |
|                    |                | Volume of traffic entering<br>and exiting the site and<br>parking areas          | Output   |  | No. Vehicles Entering/E xiting Hour  |
|                    |                | Number of event patrons and participants utilizing transit to and from the event | Output   |  |  |
|                    |                | Average vehicle occupancy  | Output   |  | For n vehicles,  |
|                    |                | Percent time VMS working properly (and other VMS related measures)               | Output   |  | $\frac{\textit{Time VMS Working Properly}}{\textit{Total Operation Time}} \times 100~\%$   |
|                    |                | Number of messages<br>displayed per VMS, and<br>time periods of messages         | Output   | To evaluate the locations of VMSs (usefulness) Example: find optimal number of messages and length of time they are displayed so that they can all be read, and optimal location to get travelers' attention | Average for n messages, $\sum_{i+1}^{n} \textit{Time Between Failures }_{i}$ $n$ $\sum_{i=1}^{n} \textit{Time Message Displayed }_{i}$ $n$ |
|                    |                | Clarity, accuracy, timeliness of messages, per event                             | Output   | Customer surveys   |  |

|                   |                |   | Freew           | ay System   |  |
|-------------------|----------------|---|-----------------|---|--|
| Function Category | Components     | Metric  | Туре            | Supplementary Notes                                     | Calculation Example(s)   |
| Special Events    | Planned Events | Number of messages<br>broadcast on highway<br>advisory radio or other<br>media                    | Output          |   |  |
|                   |                | Number of messages transmitted among agencies   | Output          | Indicates coordination, clarity of messages             |  |
|                   |                | Frequency of evaluating/changing regular traffic signal timing for special events                 | Output          |   |  |
|                   |                | Number of times a ramp(s) was closed and time/duration of closure(s)                              | Output          |   | Average duration for n closures, $\sum_{i=1}^{n} Amount  of \ Time \ Closed_i$           |
|                   | Work Zone      | Number, lane miles, time periods of work zones, by type   | External        |   |  |
|                   |                | Miles, hours of<br>lanes/shoulders closed due<br>to work zones, by type and<br>capacity reduction | External        | Average, range (minimum, maximum), median, and variance |  |
|                   |                | Work zone configuration   | External/output | Time of day, partial closures, etc.                     |  |
|                   |                | Person-hours spent working on system  | Input           | Can also use dollars spent as measure                   |  |
|                   |                | VMT exposed to work zones of different types  | Output          |   |  |
|                   |                | Average time for work completion, by work zone type   | Output          |   | For n work zones of one type,  |
|                   |                | Number of work zone crashes   | Output          |   |  |
|                   | Outcomes       | Number of reduced crashes   | Outcome         |   |  |
|                   |                | Travel times  | Outcome         |   |  |
|                   |                | Hours of delay  | Outcome         |   |  |
|                   |                | Capacity reductions   | Outcome         |   | $rac{	extit{Vehicles per Hour}}{	extit{(Vehicles per Hour)}_{	extit{max}}} 	imes 100\%$ |

|                                       |            |  |        | vay System   |  |
|---------------------------------------|------------|--|--------|--|--|
| Function Category                     | Components | Metric   | Type   | Supplementary Notes  | Calculation Example(s)   |
| Information Sharing/<br>Dissemination | General    | Person-hours spent on overall information sharing/dissemination  | Input  |  |  |
|                                       |            | Amount spent on<br>hardware/software system<br>components  | Input  |  |  |
|                                       | Real-Time  | Person-hours spent on real-<br>time information<br>sharing/dissemination   | Input  |  |  |
|                                       |            | Frequency of data sharing<br>(crash, planned events,<br>weather, traffic) with EMS,<br>transit, and signal system<br>TMS | Output | i.e. how often is applicable information shared Example: informing EMS of a concert event so they can mobilize resources | No. of Data Sharing per Month or Year  |
|                                       |            | Number of agencies that receive information  | Output | For identification and inclusion of agencies wanting traffic-related data  |  |
|                                       |            | Extent of real-time information (lane-miles or intersections) available/shared   | Output |  | Real Time Coverage (Lane - Miles)  Total Coverage (Lane - Miles)  No. Intersecti ons with Real Time Informatio n  Total No. Intersecti ons |
|                                       |            | Frequency/duration of radio broadcasts   | Output |  | Average duration for n broadcasts,  No. of Traffic Broadcasts  Time $\sum_{i=1}^{n} Time_{i}$  |
|                                       |            | Individuals receiving<br>traveler information by<br>source (511, other direct<br>means)                                  | Output | Customer survey  |  |
|                                       |            | Percent of road closures<br>communicated to public<br>within certain period of<br>closing                                | Output |  | Communicat ed Road Closures  Total Road Closures   |
|                                       |            | Hits per day on traveler information web site  | Output |  | Average for n days, $\sum_{i=1}^{n} \text{No. of Hits}_{i}$  |
|                                       |            | Information quality perceived by customers   | Output | Real-time and off-line   |  |

|                                      |            |  |         | vay System  |   |
|--------------------------------------|------------|--|---------|---|---|
| Function Category                    | Components | Metric   | Туре    | Supplementary Notes   | Calculation Example(s)  |
| nformation Sharing/<br>Dissemination | Off-Line   | Person-hours spent working on off-line activities          | Input   |   |   |
|                                      |            | Frequency of offline system update                         | Output  | On an as-needed basis   |   |
|                                      |            | System update frequency by components                      | Output  |   |   |
|                                      |            | Number of newsletter subscribers                           | Output  |   |   |
|                                      |            | Number of ways to access information                       | Output  | Improved on an as-<br>available technological<br>basis            |   |
|                                      |            | Number of people/organizations accessing information       | Output  |   |   |
|                                      |            | Speed of results returned for a query                      | Output  | Qualitatively measured as acceptable or unacceptable speeds       | Average for n queries, $\sum_{j=1}^{n} \textit{Time to Return Results }_{i}$ n  |
|                                      |            | Number of users/visits to websites                         | Output  |   |   |
|                                      |            | Number of queries  | Output  |   |   |
|                                      |            | Total amount of data queried                               | Output  |   |   |
|                                      | Outcomes   | Reduced overall travel time                                | Outcome |   |   |
|                                      |            | Reduced overall delay                                      | Outcome |   |   |
|                                      |            | Customer satisfaction                                      | Outcome |   |   |
| Overall TMS                          | Mobility6  | VMT by congestion level                                    | Outcome |   |   |
| Outcomes                             |            | Delay due to congestion (total or by vehicle) <sup>7</sup> | Outcome |   | see page 28; Average for n events, $\sum_{i=1}^{n} \frac{\text{Delay/Lost}}{n}$ |
|                                      |            | Level of service or volume-<br>to-capacity ratios          | Outcome | Classified A (best) to F (worst)                                  | Volume<br>Capacity  |
|                                      |            | Duration of congestion (lane-<br>mile/hours in LOS E or F) | Outcome | The maximum length of time a segment of the facility is congested | _   |
|                                      |            | Percent of system congested                                | Outcome | Often correlates with LOS<br>E or F                               | Lane Miles Congested Total Lane Miles × 100%                                    |
|                                      |            | Percent of miles operating in desired speed range          | Outcome |   |   |
|                                      |            | Average speed <sup>8</sup>                                 | Outcome |   | see page 29   |
|                                      |            | Travel time <sup>9</sup>                                   | Outcome |   | see page 30   |

|                         |                       |  | Freev   | vay System  |  |
|-------------------------|-----------------------|--|---------|---|--|
| Function Category       | Components            | Metric   | Туре    | Supplementary Notes   | Calculation Example(s)   |
| Overall TMS<br>Outcomes | Mobility6             | Travel Time Reliability <sup>10</sup>  | Outcome | Variability and range in travel times, percent of acceptable times                          | see page 31  |
|                         |                       | Indices such as Travel Time<br>Index, Buffer Index, Travel<br>Rate Index <sup>11</sup> | Outcome | Based on Urban Mobility<br>Program measures   | see page 33  |
|                         | Safety                | Total number of crashes (property damage, injuries, fatalitites)                       | Outcome | Both an external factor and<br>an outcome, based on<br>whether or not avoidable by<br>a TMS |  |
|                         |                       | Construction-related fatalities  | Outcome |   | No. of Fatalities in Work Zones  Total No. Fatalities                          |
|                         |                       | Number of secondary crashes  | Outcome |   |  |
|                         | Customer Satisfaction | Customer perception of safety  | Outcome | Customer surveys  |  |
|                         |                       | Customer satisfaction  | Outcome | Customer surveys  |  |
|                         |                       | Customer perceptions on travel times   | Outcome | Customer surveys  |  |
|                         |                       | Estimated diversion rate   | Outcome |   | No. Vehicles Diverted to Alt. Routes  No. Cars in Traffic on Route of Interest |
|                         |                       | Hours of both recurring and non-recurring delay by mode                                | Outcome | Non-recurring delay correlates to incident-related delay                                    |  |
|                         | Quantity of Travel    | Total person-hours traveled by vehicle type  | Outcome |   |  |
|                         |                       | Average delay (total, recurring, & incident – based)                                   | Outcome |   |  |
|                         | System Utilization    | Density (passenger cars per hour per lane)   | Outcome |   | No. of Passenger Cars/hour/ lane   |
|                         |                       | Percentage of travel heavily congested   | Outcome |   | Miles of Heavily Congested Travel  Total Miles Traveled                        |
|                         |                       | V/C ratio  | Outcome |   | Volume<br>Capacity   |
|                         | Queue Characteristics | Queue growth rate  | Outcome |   | Cum. Arrival - Cum. Departure<br>Time  |
|                         |                       | Queue length (average or maximum)  | Outcome |   | Cum. Arrival - Cum. Departure  |

Table 4-2: Performance Measures Corresponding to an Arterial TMS

|                      |                           | Arterial S  | System   |   |   |
|----------------------|---------------------------|---|----------|---|---|
| Function<br>Category | Components                | Metric  | Туре     | Supplementary Notes   | Calculation Example(s)  |
| Arterial             | Sensors                   | Total intersections, corridors  | External |   |   |
| Management           |                           | Number of personnel available and hours spent on activities (e.g., operation, maintenace, etc.) | Input    |   |   |
|                      |                           | Maintenance (hours, cost) spent on field equipment (total and average)                          | Input    |   |   |
|                      |                           | Links of coverage vs. total links   | Output   |   |   |
|                      |                           | Data quality, accuracy, reliability by sensor type and other components                         | Output   |   |   |
|                      |                           | Equipment downtime  | Output   | Percent time component<br>not working, and percent<br>time component working<br>incorrectly (helps in<br>diagnosis) | Time Equipment Not Working  Total Operation Time                            |
|                      |                           | Frequency of checking the status of the sensors   | Output   |   |   |
|                      |                           | Mean Time Between Failures (MTBF) for field equipment   | Output   |   | $\sum_{i=1}^{n} TimeBetwee \ enFailure_{i}$                                 |
|                      |                           | Number of routine maintenance calls per time period   | Output   |   |   |
|                      | Traffic Signal<br>Control | Cost of updating timing plan, per intersection/corridor   | External |   | Average for n updates, per intersection/corridor, $\sum_{j=1}^{n} Cost_{j}$ |
|                      |                           | Person-hours toward traffic signal control  | Input    | Can also use dollars spent as metric  |   |
|                      |                           | Number of signals to be maintained per person   | Input    |   | No.SignalsToM a int ain No.PeopleMa int aining                              |
|                      |                           | Number of maintained signals vs. total signals  | Output   |   |   |

|                      | Arterial System         |  |                   |  |  |  |  |  |
|----------------------|-------------------------|--|-------------------|--|--|--|--|--|
| Function<br>Category | Components              | Metric   | Туре              | Supplementary Notes                                | Calculation Example(s)   |  |  |  |
| Arterial             | Controllers             | Change in intersection approach volumes  | External          |  |  |  |  |  |
| Management           |                         | Person-hours spent toward maintaining/operating for controllers  | Input             | Can also use dollars spent as metric               |  |  |  |  |
|                      |                         | Time taken to replace or repair failed equipment   | Input /<br>Output |  | Average for n replacement/repairs, $\sum_{i=1}^{n} \textit{Maintenanc} \ \ e \ \textit{Cost}_{i}$ $n$ $\sum_{i=1}^{n} \textit{Time}_{i}$ $n$ |  |  |  |
|                      |                         | Frequency of reviewing timing plan, per intersection/corridor  | Output            | Note the difference between reviewing and retiming |  |  |  |  |
|                      |                         | Number of signals retimed per given time period  | Output            |  |  |  |  |  |
|                      |                         | Frequency of failures (flash mode or complete failure)   | Output            | Due to power outage or broken lights               |  |  |  |  |
|                      |                         | Frequency of resetting clock due to shifting   | Output            |  |  |  |  |  |
|                      |                         | Time/cost required for uploading new timing plan to controller   | Output            |  |  |  |  |  |
|                      |                         | Utilization of capabilities within controller software (transition logic, transit signal priority, etc.) | Output            |  |  |  |  |  |
|                      | Pre-Emption (Receivers) | Number of vehicles equipped with receivers   | External          |  |  |  |  |  |
|                      |                         | Person-hours working on pre-emption management   | Input             |  |  |  |  |  |
|                      |                         | Percent of time not working properly   | Output            |  | Time Receiver Not Working  Total Operation Time  |  |  |  |
|                      |                         | Number of actual services/month  | Output            | Indicated by the actuations on the receiver        |  |  |  |  |
|                      | Communication           | Bandwidth  | Input             |  |  |  |  |  |
|                      |                         | Speed <sup>8</sup>   | Output            |  | see page 29  |  |  |  |
|                      |                         | Number of bits lost (i.e. noise)   | Output            |  |  |  |  |  |

|                        | Arterial System |   |                     |  |  |  |  |  |
|------------------------|-----------------|---|---------------------|--|--|--|--|--|
| Function<br>Category   | Components      | Metric  | Туре                | Supplementary Notes                                | Calculation Example(s)   |  |  |  |
| Arterial<br>Management | Communication   | Number or percentage of time of failures  | Output              | Complete loss of communication                     | No. Failures<br>Day/Month  |  |  |  |
| Work Zone              |                 | Number, lane miles, turning movement closures, intersection closures, time periods of work zones, by type | External            |  |  |  |  |  |
|                        |                 | Intersection capacity, lane number, hours or miles, closed due to work zones of different types           | External            |  | No. Lane Number/Mil es/Hours Closed<br>Total Lane Number/Mil es/Hours            |  |  |  |
|                        |                 | Number of work zones per month  | External            |  | No. Work Zones  Month  |  |  |  |
|                        |                 | Vehicles, VMT exposed to work zones   | External/o<br>utput | Type depends on the use of work zone configuration |  |  |  |  |
|                        |                 | Average (duration, length) of work zones by types   | External/o<br>utput | Type depends on the use of work zone configuration | Average for n work zones, $\sum_{i=1}^{n} Length  of  Work  Zone_{i}$ n          |  |  |  |
|                        |                 | Average time for work completion, by work zone type   | External/o<br>utput | Type depends on the use of work zone configuration | Average for n work zones, $\sum_{i=1}^{n} Time \ for \ Work \ Completion \ _{i}$ |  |  |  |
|                        |                 | Work zone configuration   | External/o<br>utput | Time of day, partial closures, etc.                |  |  |  |  |
|                        |                 | Work zone requests  | Input               |  |  |  |  |  |
|                        |                 | Person-hours spent on work zone configuration & implementation  | Input               |  |  |  |  |  |
|                        |                 | Frequency, number of work-zone crashes  | Output              |  | No. Work Zone Crashes  Day/Month   |  |  |  |
|                        |                 | Percent time VMS working properly (and other VMS related measures, where applicable)                      | Output              |  | Time VMS Working Total Operation Time  |  |  |  |
| Special                |                 | Number of events  | External            |  |  |  |  |  |
| Events                 |                 | Duration of event   | External            |  | _  |  |  |  |
|                        |                 | Person-hours toward special event work  | Input               | Can also use dollars spent as metric               |  |  |  |  |

|                   |            |   | Arter    | ial System  |   |
|-------------------|------------|---|----------|---|---|
| Function Category | Components | Metric  | Туре     | Supplementary Notes   | Calculation Example(s)  |
| Special Events    |            | Frequency of evaluating/changing timing plans for special events                      | Output   |   | No. reviews per event   |
|                   |            | Number of special event signal operations by time of day, day of week and event types | Output   |   |   |
|                   |            | Coordination level with freeway TMSs and other jurisdiction signal systems            | Output   | Depending on the need to review                                   |   |
| Overall Measures  |            | Total lane-miles being managed  | External |   |   |
|                   |            | Person-hours toward arterial management   | Input    |   |   |
|                   |            | Number of cycle failures, per intersection/corridor                                   | Output   | Classified by cause of failure (poor timings or excessive demand) | No. Cycle Failures  Day/Month                                 |
|                   |            | Efficiency of bandwidth   | Output   |   | $Efficiency = \frac{Bandwidth}{CycleLengt \ h} \times 100 \%$ |
|                   |            | Travel time delay <sup>7</sup>  | Outcome  |   | see page 28   |
|                   |            | Maximum queue length  | Outcome  |   | Cum. Arrival - Cum. Departure                                 |
|                   |            | Customer satisfaction   | Outcome  |   |   |
|                   |            | Number of positive/negative feedback calls vs. total calls                            | Outcome  |   | No. Feedback Calls Received  Total Calls Received             |
|                   |            | Average speeds along corridors  | Outcome  |   | Average for n vehicles, $\sum_{i=1}^{n} Speed_{i}$            |
|                   |            | Travel time reliability <sup>10</sup>   | Outcome  |   | see page 31   |
|                   |            | Level of service by intersection/corridor   | Outcome  |   |   |

Table 4-3: Performance Measures Corresponding to a Transit TMS

|                     | Transit System   |          |   |  |  |  |  |  |
|---------------------|--|----------|---|--|--|--|--|--|
| Function Category   | Metric   | Туре     | Supplementary Notes   | Calculation Example(s)   |  |  |  |  |
| Information Sharing | Person-hours spent on information sharing  | Input    | Can also be in dollars spent  |  |  |  |  |  |
|                     | Number of VMS signs capable of providing information on arrivals, & % working units. | Output   |   | $\frac{\textit{No. VMS Capable of Displaying Arrivals}}{\textit{Total No. VMS}} \\ \frac{\textit{No.VMSWorking}}{\textit{TotalNo.VMS}} \times 100\%$ |  |  |  |  |
|                     | Coordination with regional TMS (Freeways, arterials, and other transit)              | Output   |   |  |  |  |  |  |
|                     | Percent of time information is accurate  | Output   |   |  |  |  |  |  |
|                     | Percent of time information is timely  | Output   |   |  |  |  |  |  |
|                     | Percent of time information is useful  | Output   |   |  |  |  |  |  |
| Transit Operations  | Number of passengers/time period   | External |   |  |  |  |  |  |
|                     | Person-hours spent on transit operations   | Input    |   |  |  |  |  |  |
|                     | Frequency of scheduling update   | Output   |   |  |  |  |  |  |
|                     | Average occupancy  | Output   |   |  |  |  |  |  |
|                     | On-time percentage   | Output   | An output in terms of systematic inefficiencies, an outcome from the customer perspective | No. On -Time Routes  No. Routes per Day  |  |  |  |  |
|                     | Number of incidents, & preventive maintenance undertaken                             | Output   |   |  |  |  |  |  |
|                     | Percent of AVL equipped buses  | Output   |   | $\frac{No.BusesWithA\ VL}{TotalNo\ .Buses} \times 100\%$   |  |  |  |  |
|                     | Proportion of buses with signal priority   | Output   |   | No. Buses with Signal Priority  Total No. Buses  |  |  |  |  |

| Transit System     |  |         |   |  |  |  |  |  |
|--------------------|--|---------|---|--|--|--|--|--|
| Function Category  | Metric   | Type    | Supplementary Notes                             | Calculation Example(s)   |  |  |  |  |
| Transit Operations | Number of intersections/routes equipped with transit signal priority equipment versus total number on transit routes | Output  | Requires coordination with the city/county/MPOs |  |  |  |  |  |
|                    | System penetration of transit signal priority  | Output  |   | No. Intersecti ons/Routes with Signal Priority Total No. Intersecti ons/Routes |  |  |  |  |
|                    | Number of buses out of service/route   | Output  |   |  |  |  |  |  |
| Overall Outcomes   | Customer satisfaction  | Outcome |   |  |  |  |  |  |
|                    | Travel time reduction  | Outcome |   |  |  |  |  |  |
|                    | Delay savings  | Outcome |   |  |  |  |  |  |

1) Response time is the time it takes to activate, coordinate, and dispatch the necessary personnel, equipment, and communications once the occurrence of an incident is verified. The time ends when the first responder arrives on the scene of the incident (Neudroff et al. 2003). The relation of response time to incident management overall is shown in Figure 4-3. The time it takes to respond to an incident can be broken down by the type and severity of an incident. (This measure should have the review and recommendations of legal department before implementation to limit vulnerability to litigation.)

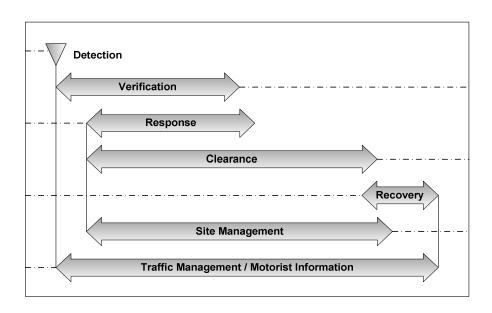


Figure 4-3: The Stages of Incident Management (Neudroff et al. 2003)

- 2) An incident is anything that interrupts the usual flow of traffic and can vary in type from vehicle breakdowns, to vehicle crashes, to obstructions in the roadway, such as cargo spills or fallen debris. Crashes can be subcategorized into single vehicle crashes, multiple vehicle crashes, crashes involving trucks, and weather related crashes. Severity is based on injuries and fatalities (ITS Decision 2003).
- 3) Incident detection rate and false alarm rate (FAR) are used to measure the performance of incident detection algorithms. The detection rate can be taken as the percentage of incidents detected by the software versus the number of incidents that occur. The FAR can be taken as the percentage of false alarms versus the number of tests run by the software. Factors that may affect the performance of an incident detection algorithm include: the operating conditions of the roadway (at or below capacity), the duration and severity of the incident, the geometric characteristics of the roadway (grade, change in the number of lanes, presence of ramps), weather (including the condition of the road surface as wet or dry), detector spacing, the location of the incident with respect to a detector, and the diversity of the traveling vehicles (ITS Decision 2003).

- 4) Verification time is the time it takes to confirm an incident has taken place and to then communicate the location and nature of an incident to the appropriate agency (Neudroff et al. 2003). Verification can generally be considered complete when the first response team arrives at the scene. An exception is when hazardous material is involved (PB Farradyne 2000). Its relation to incident clearance is shown in Figure 4-3. To measure verification time accurately, times should be recorded by TMC field personnel and by a reliable, non-TMC source for comparison.
- 5) Clearance time is measured as the time it takes to clear the vehicles, wreckage, or other obstructions that are disrupting traffic flow to return the roadway to its normal flow pattern. This may include repairs to the roadway (PB Farrdyne 2000). Clearance time should be measured according to the type and severity of the incident; the expected clearance time for a minor incident should be under 30 minutes, between 30 minutes and 2 hours for an intermediate incident, and over 2 hours for a major incident. Details of an incident are an important consideration because variables such as "truck involvement, overturned vehicles, trailer or tanker damage, fuel spills, cargo spills, fatalities, police crime scene designations, weather, travel lanes affected, and volume of passing traffic" can greatly affect the clearance time (Transportation Research Board, NCHRP Synthesis 318). In measuring clearance time, an agency may use notification time, actual time, or verification time as the start time. It's simply important to define these parameters. Clearance can be considered complete when the traffic bottleneck has cleared.
- 6) "Mobility is defined as the ability to satisfy the demand to move a person or goods and can be described by four parameters:
  - Quantity of travel (number of persons served)
  - Quality of travel (travelers' satisfaction with travel).
  - Accessibility of travel (ability to reach the destination and mode choice).
  - Utilization of a facility or service (the quantity of operations with respect to capacity)" (Transportation Research Board, NCHRP Synthesis 311 2003).

Table 4-4 is an example of Florida's Mobility Performance Measures Program and specifically the mobility performance measures in place there (Florida 2000). Some of these measures are discussed in more detail in the remainder of this section. *Mobility* measures have been used for many purposes, "ranging from site-specific operations analysis to corridor-level alternative investments analysis to area-wide planning and public information studies. Transportation agencies have adapted a wide range of mobility performance measures and these have been reviewed to develop the performance measures most appropriate for national mobility monitoring" (Battelle et al., 2002).

|                          |   |                        |          |  |               | , , ,                                      |
|--------------------------|---|------------------------|----------|--|---------------|--|
| Dimension of<br>Mobility | Mobility Performance Measures           | s <sub>tate</sub> kigi | LOUIS SE | State High and Line of the Lin | State Heliche | Definitions <sup>1</sup>                   |
| ٥f                       | Person miles traveled                   | •                      | •        | •  | •             | AADT * length * vehicle occupancy          |
| Jantity<br>Travel        | Truck miles traveled                    | •                      | •        | •  | •             | AADT * length * % trucks                   |
| Quantity<br>Travel       | Vehicle miles traveled                  | •                      | •        | •  | •             | AADT * length                              |
| ā                        | Person trips                            |                        |          |  | •             | Total person trips                         |
| <u> </u>                 | Average speed                           | •                      | •        | •  |               | Average speed <sup>2</sup> weighted by PMT |
| rav                      | Delay                                   | •                      | •        | •  | •             | Average delay                              |
| Quality of Travel        | Average travel time                     |                        |          | •  |               | Distance / speed <sup>2</sup>              |
| ξ                        | Average trip time                       |                        |          |  | •             | Door to door trip travel time              |
| nal                      | Reliability                             |                        |          | •  | •             | % of travel times that are acceptable      |
| Ø                        | Maneuverability                         |                        |          | •  |               | Vehicles per hour per lane                 |
|                          | Connectivity to intermodal facilities   | •                      | •        | •  | •             | % within 5 miles (1 mile for metropolitan) |
| iity                     | Dwelling unit proximity                 |                        | •        | •  | •             | % within 5 miles (1 mile for metropolitan) |
| Accessibility            | Employment proximity                    |                        | •        | •  | •             | % within 5 miles (1 mile for metropolitan) |
| ces                      | Industrial/warehouse facility proximity |                        | •        |  |               | % within 5 miles                           |
| Ac                       | % miles bicycle accomodations           | •                      |          |  | •             | % miles with bike lane/shoulder coverage   |
|                          | % miles pedestrain accomodations        | •                      |          |  | •             | % miles with sidewalk coverage             |
| Ç.                       | % system heavily congested              | •                      | •        | •  | •             | % miles at LOS E or F                      |
| Utilization              | % travel heavily congested              | •                      | •        | •  | •             | % daily VMT at LOS E or F                  |
| tiliz                    | Vehicles per lane mile                  | •                      | •        | •  | •             | AADT * length / lane miles                 |
| )                        | Duration of congestion                  | •                      | •        | •  | •             | Lane-miles-hours at LOS E or F             |

<sup>&</sup>lt;sup>1</sup> Definitions shown are generally for daily analysis. Calculations for the peak are based on prevailing conditions during the typical weekeday 5:00 to 6:00 PM peak.

Table 4-4: Florida's Mobility Performance Measures for Highways (Florida 2000)

7) Delay is added travel time caused by congestion. It can be calculated as:

## **Equation 4-1**

Total Segment Delay (veh - min) = [Actual Acceptable Travel Time (min) - Actual Travel Time (min)] × Volume (veh),

Total Delay (veh - min) = 
$$\sum_{i=1}^{n}$$
 Segment Delay<sub>i</sub>

Acceptable travel time for expected conditions is generally based on the posted speed limit, but may "be calculated using a congestion threshold speed established from local performance goals for mobility." "Acceptable travel conditions" are usually free-flow (Federal Highway Administration 2002).

Another method is to measure the divergence of the actual travel time from the expected travel time. Equation 4-2 can be used to calculate delay over a set of links assuming free-flow conditions.

<sup>&</sup>lt;sup>2</sup> Speed based on models using the HCM or field data.

#### Equation 4-2

$$D = \sum_{i=1}^{n} L_i \times F_i(t) \times \left[ \frac{1}{V_i} - \frac{1}{f_i} \right]$$

Where.

 $L_i$ = The length of the ith segment holding the ith TMS, which can be derived from adjacent TMS' locations marked by milepost value

 $F_i(t)$  = The total volume at the ith TMS site for the specified period t

 $f_i$  = The free-flow speed at the ith segment (Martin 2003)

8) Average Speed is the arithmetic average of all vehicles for a specified period of time. The simplest calculation is to take distance over time: total distance traveled divided by the total time to travel "x" distance. Because TMS data is collected by lane, weighting factors based on the volume in each lane are used to determine the average speed at a given point in all lanes. The lane with the highest volume is given the highest weight. Equation 4-3 represents this method (Martin 2003).

**Equation 4-3** 

$$V^{i} = \frac{\sum_{m=1}^{n} F^{i}_{Dm} V^{i}_{Dm}}{\sum_{m=1}^{n} F^{i}_{Dm}}$$

Where.

V = V Weighted average speed at the ith TMS site for the specified period

 $V_{Dm}$  = Average speed at the mth detector of the ith TMS site for the specified period

 $\vec{F}_{Dm}$  = Total volume at the mth detector of the ith TMS site for the specified period

n = Number of detectors at the ith TMS site (Martin 2003)

Equation 4-4 can be used to calculate speed for a specified period of time where weight is the ratio of total volume in time of t to total volume in time of T (Martin 2003).

### **Equation 4-4**

$$V^{i}_{T} = \frac{\sum_{k=1}^{n} F^{i}_{tk} V^{i}_{tk}}{\sum_{k=1}^{n} F^{i}_{tk}}$$

Where:

 $V_T^i$  = Weighted average speed at the ith TMS site for the specified period T

 $V_{tk}$  = Average speed at the ith TMS site for the specified period t  $F_{tk}$  = Total volume at the ith TMS site for the specified period t

n = The number of t intervals included in the T (Martin 2003)

An alternate method of calculating speed is shown below:

#### **Equation 4-5**

$$SA = \frac{3600L}{TR} + D$$

SA = Average Travel Speed

L =Segment length (miles)

TR = Total Running Time for each segment (seconds)

D = Average stopped delay during PM peak hour traffic (seconds)(Sellsted)

9) Travel Time is the time takes to travel a measured distance on a segment or corridor. It is calculated using average speed over a segment of a given distance. The average five-minute speed is usually applied, as shown in Equation 4-6. The process is shown in Equations 4-6 to 4-9. Over a link, real time speed can be used to calculate the precise travel time (Martin 2003).

### **Equation 4-6**

$$T_i(t) = \frac{L_i}{V_i(t)}$$

Where:

 $V_i(t)$  = average speed in a five-minute interval at the ith TMS at time t when vehicles travel over the ith segment

 $L_i$ = the length of the ith segment holding the ith TMS, which can be derived from the adjacent TMSs' locations marked by milepost value (Martin 2003)

"Assuming  $x_i, x_2,...x_n$  as locations of n TMSs on a directional roadway,  $L_i$  is calculated as follows:

#### **Equation 4-7**

$$L_{i} = \frac{X_{i+1} - X_{i-1}}{2}$$

"The lengths of the first and last segments are:

## **Equation 4-8**

$$L_i = (x_2 - x_1), L_n = (x_n - x_{n-1})$$

"Equation 4-9 shows that travel times are aggregated over a set of links to find the total travel time T for an entire or specific section of a route" (Martin 2003).

#### **Equation 4-9**

$$T = \sum \frac{L_i}{V_i(t)}$$

### 10) Reliability is defined as:

- "The likelihood of a traveler's expectations being met. Reliability is measured as the variability between the expected travel time (based on scheduled or average travel time) and the actual travel time (due to the effects of nonrecurrent congestion).
- The range of travel times experienced during a large number of daily trips.
- The impact of nonrecurrent congestion on the transportation system, estimated as a function of the variation in the duration, extent, and intensity of traffic congestion on a system" (Transportation Research Board, *NCHRP Synthesis 311* 2003).

Many techniques have also been reported for measuring reliability. It is generally measured in terms of the variability of travel time, characterized by the various travel times associated with a given trip. "The range of travel times can be obtained by calculating the mean and standard deviation of travel times within a sample. For example, an uncongested facility might have a trip time reliability of 12 to 15 minutes for 85% of all trips, whereas on a congested facility the reliability might be between 20 and 30 minutes." This way of calculating reliability was used to study the benefits (travel time savings) of high-occupancy vehicle (HOV) lanes versus freeway main lanes. This method can be applied to a single roadways, corridors, and area wide networks, but should be used to compare

travel times along one facility (Transportation Research Board, NCHRP) Synthesis 311 2003).

A higher standard deviation in the sample travel time correlates to higher variability and therefore less reliability. When using equation 4-10 to calculate standard deviation, a large sample size should be used (Martin 2003).

Equation 4-10
$$s^2 = \frac{\sum (T_i - M)^2}{n - 1}$$

Where,

s =the estimate of travel time standard deviation

 $T_i$  = the travel time of the ith travel crossing a specific route

M = the mean travel time of a set of samples

n = the number of sampling travels (Martin 2003)

Figure 4-4 contains an algorithm for calculating variability and reliability. Travel time and expected number of trips are input from TMS data (Martin 2003).

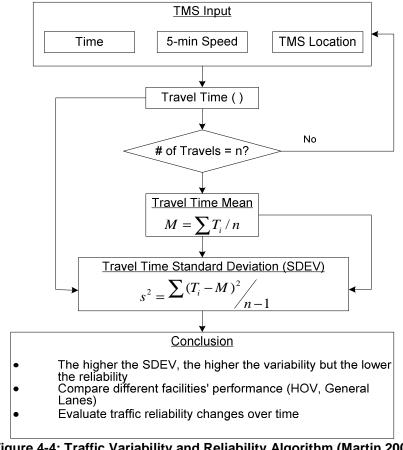


Figure 4-4: Traffic Variability and Reliability Algorithm (Martin 2003)

A reliability performance indicator, *R*, was theorized by Ikhrata and Michell. It is the probability that travel time will either meet or exceed the expected travel time, based on previous trips. Equation 4-11 shows how to calculate *R* using data from commuter surveys (Transportation Research Board, *NCHRP Synthesis 311* 2003).

#### **Equation 4-11**

$$R = 1 - (\%trips_{within} - \%trips_{exceed})$$

Where,

% trips<sub>within</sub> = percent of trips in which users arrive at their destinations at the expected (average) travel time or less; and

% trips<sub>exceed</sub> = the percent of trips in which users do not arrive at destinations within the expected (average) travel time

"A preliminary investigation of this methodology revealed that because the indicator is based on the average travel time, approximately one-half of the observations will always fall within the average value and one-half will exceed it. Using this methodology, the reliability performance indicator will always have a value in the range of 0.9 to 1.1." The index will increase with decreasing reliability (Transportation Research Board Synthesis 311 2003).

A "reliability buffer index" was established in the Texas Transportation Institute's *Urban Mobility Report: 2000*. The index represents "the difference between the average travel time and the 95th percentile travel time as the extra time that has to be budgeted for a trip compared with the average travel rate to define a reliability index" (Transportation Research Board, *NCHRP Synthesis 311* 2003).

### Equation 4-12

$$Buffer\ Index\ (BI) = \frac{95th\ Percent\ Confidence\ Rate - Average\ Travel\ Rate}{Average\ Travel\ Rate} \times 100\%$$

Due to significant variability during peak hours, 2 minutes per mile should be added to the buffer (on top of the average travel time of 1.5 minutes per mile) (Transportation Research Board, *NCHRP Synthesis 311* 2003).

Reliability can also be measured as the difference between incident-related delay and nonincident-related delay using Equation 4-1 (Transportation Research Board, *NCHRP Synthesis 311* 2003).

### How Florida Calculates Reliability

"The Florida's Reliability Method report (Jackson et al. 2000) went further to derive a methodology for determining reliability from the Florida DOT's definition of the reliability of a highway system as the percent of travel on a corridor that takes no longer than the expected travel time plus a certain acceptable additional time. In this context, it is necessary to define the three major components of reliability.

- 1. Travel time—The time it takes a typical commuter to move from the beginning to the end of a corridor. Because speed is determined along each segment as the traveler moves through the corridor, this travel time is a function of both time and distance. This is representative of the typical commuter's experience in the corridor.
- 2. Expected travel time—The median travel time across the corridor during the time period being analyzed. The median is used rather than the mean so that the value of the expected travel time is not influenced by any unusual major incidents that may have occurred during the sampling period. These major incidents will be accounted for in the percentage of how often the travel takes longer than expected, but will not change the baseline to which that unusually high travel time is being compared.
- 3. Acceptable additional time—The amount of additional time ( $\Delta$ ), beyond the expected travel time, that a commuter would find acceptable during a commute. The acceptable additional time is expressed as a percentage of the expected travel time during the period being analyzed. Times 5%, 10%, 15%, and 20% above the expected travel time are currently being considered. However, Florida practice recommended that preference surveys be conducted to determine how much difference from the expected commute a traveler would find acceptable.

"The threshold when travel exceeds the acceptable additional time beyond the expected travel time is obtained using the following equation:

Acceptable  $TT = x + \Delta$ 

where

x = the median travel time across the corridor during the period of interest; and  $\Delta$  = an additional travel time estimated as a percentage of the median travel time during the period of interest or value, used to establish the additional time beyond the expected travel time that a traveler would find acceptable.

"The percent of reliable travel is calculated as the percent of travel on a corridor that takes no longer than this acceptable travel time. A comparative analysis was conducted using traffic flow data for the following three study corridors: (1) I-95 in Jacksonville, (2) I-95 in Broward County, and (3) I-4 in Orlando. Two test corridors were also included in the project. The first test corridor was I-95 from south of Hallandale Beach Boulevard in Broward County to north of Yamato Road in Palm Beach County. Data for this corridor were collected as part of a 1999 Interstate Traffic Data Survey. The second test corridor was a 23-mi

segment of I-405 in Seattle, Washington. The reliability results suggest that the Florida Reliability Method is well suited for measuring reliability because it characterizes reliability as an indicator of how well conditions on the corridor meet travelers' expectations by establishing an acceptable travel time unique to the corridor. This definition matches well with the reliability definitions provided by operations researchers and used in other commercial transportation applications such as aviation (ontime arrivals), rail (on-time arrival), and integrated logistics (on-time or just-in-time delivery). Other methods describe the variability of travel time but do not report directly on reliability from this perspective. The following recommendations were made regarding data collection for reliability measurement:

- For the calculation of reliability using the Florida Reliability Method, the acceptable additional time should be based on a fixed percentage of 15 or 20% of the expected travel time. However, it is recommended that preference surveys be conducted to determine how much difference from the expected commute a traveler would find acceptable.
- Reliability should be measured for a consistent peak hour (such as 5 to 6 p.m.) rather than the peak period for a corridor. This allows comparisons between facilities, and also enables annual monitoring of reliability on the same facility, because the peak period may change from year to year.
- The interval for collecting speed and volume data should be less than the travel time under free-flow conditions.
- The optimum data collection period for the reliability measurement is a 6-week period using data collected at intervals of 5-min or less based on the travel time under free-flow conditions as noted above.
- Data collected over a 4-week period at 15-min intervals is the minimum recommended to provide an adequate sample size" (Transportation Research Board Synthesis 311 2003).

Box 4-3: How Florida calculates reliability

11) *Travel Time Index* (TTI) is "the ratio of peak period travel time to free-flow travel time. It represents the ease of getting to a destination." TTI can range from 1 to infinity, where a large number indicates congestion. For example, a TTI of 1.3 means that a trip taking 10 minutes during off-peak hours will take 13 minutes during peak hours. TTI can be applied to segments of the roadway or the entire system. Equation 4-13 shows how TTI is calculated (Martin 2003).

$$TTI = \frac{\sum \frac{I_i}{V_i(t)}}{\sum \frac{I_i}{f_i}}$$

Equation 4-13

*Travel rate index* is the increase in travel time and is calculated in the following ways (Transportation Research Board Synthesis 311 2003):

#### Equation 4-14

Travel Time Under Congested Conditions
Travel Time Under Uncongested Conditions

$$\frac{60/\mathrm{Speed}_{\mathit{Freeway}}}{60/\mathrm{Freeflow}\,\,\mathrm{Speed}_{\mathit{Freeway}}} \times VMT_{\mathit{Freeway}} + \frac{60/\mathrm{Speed}_{\mathit{Arterial}}}{60/\mathrm{Freeflow}\,\,\mathrm{Speed}_{\mathit{Arterial}}} \times VMT_{\mathit{Arterial}} \\ VMT_{\mathit{Freeway}} + VMT_{\mathit{Arterial}}$$

Chapter 5 will provide a detailed view of important data requirements and concerns related to these performance measures mentioned in this chapter. The next chapter serves as a bridge between Chapter 4 on Agency Goals and Performance Measures and Chapter 6 on Performance Monitoring, Evaluating, and Reporting.

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